DEPARTMENT OF HOMELAND SECURITY U.S. COAST GUARD			OFFI	CER EV	/ A	LUATION F	REP	PORT (OI	ER)	Validatio	า:			
CG-5310 (Rev. 03-03) 1. ADMINISTRATIVE DATA	<u> </u>												(YYYY	/MM/DI	D)
a. NAME (Last)	-			(Initials)	b. S	SN	c. RES	SERVE STATUS	/DRIL	LS CONDUCTED	d. GF	RADE	e. DATE (
							A	DT ADS	w [IDT D	RILLS			1	/
f. UNIT							g. ATU	J - OPFAC		h. DAYS NOT OI	BSERVED	i.	DATE RI	EPORT	ED
								-			OTHER			1	1
j. PERIOD OF REPORT (YYYY/MM/D	D)	k	. OCCASION FOR Annual/	R REPORT (Mark o	,	ne) Change of Oetach	ment	O		I. EXCEPTION	_		. DATE SI		rED ,
2. DESCRIPTION OF DUTI	<u> </u>	/	Semiannual	Reportin			er	O Promo	lion	O Special	O Concu	irrent		1	/
ATTACHMENTS:	TIFO			da - 1-194 - 4			.1								
a. PLANNING AND.	11111							but realistic	5	Exceptional prepara	tion. Always	looked l	beyond	7	N/O
PREPAREDNESS:		controlled goals. Us	by events. Set va sed unreasonable	d; appeared to be ague or unrealistic e criteria to set arely had plan of		Consistently prepared goals. Used sound criter deadlines. Used quality t	a to set	priorities and processes to		immediate events balanced competing	or proble g demands	ms. S s. Dev	skillfully reloped		
Ability to anticipate, determine goals, identify relevant information, set priorities and deadlines, and develop strategies.	0	action. Fai	lied to locus on rei	evant information.	0	develop action plán information. Kept sup holders informed.			0	Exceptional prepara immediate events balanced competis strategies with con all aspects of prolissues and impact.	\cup			0	0
b. USING RESOURCES:		Concentrat	ted on unproduct looked critical den	tive activities or nands. Failed to		Effectively managed a va available resources. De	riety of egated,	activities with empowered,		Unusually skilled a to bear on the	t bringing sc nost critical	arce res	sources		
Ability to manage time, materials, information, money, and people (i.e. all CG components as well as external publics).		use people Mismanage Used ineff without in Employed	ted on unproduct looked critical den e productively. D ed information, in fective tools or I means to acc wasteful methods.	id not follow up. money or time. eft subordinates complish tasks.		Effectively managed a va available resources. De and followed up. Ski budgeted own and productively. Ensured adequate tools, materials Cost conscious, sought w	led time subordictions subordi	ne manager, inates' time dinates had and direction. ut waste.		Unusually skilled at to bear on the demands. Optime effective delegatifollow-up control systematically rediand improve efficiel	ice cost, eli	tivity t verment, ways minate	through and s to waste,		
	0		<u> </u>		0	ı C	-		0		<u> </u>			0	0
c. RESULTS/EFFECTIVENESS: Quality, quantity, timeliness and impact of work.		Results of had a negated Maintained	asks accomplished ten late or of pod ative impact on de d the status es to improve.	or quality. Work		Got the job done in all roumany unusual ones. Wo high quality; required sa Results had a positive ir or unit. Continuously im organizational effectivene	orovea :	ations and in timely and of subordinates. In department services and		Maintained optima quantity, and timelin and subordinates' va Results had a signi or Coast Guard. systems of continuous	ness of work. Fork surpasse ficant positive Established on the sus improvem	Quality ed expect impact clearly e	quality, of own ctations. on unit effective	((
d. ADAPTABILITY:	\circ	Unable to	gauge effectiver	account work or	\circ	Bosontivo to abondo n	u infor	motion and	0	Panidly assessed	and adjusted	l to ob	naina	\circ	0
Ability to modify work methods and priorities in response to new information, changing conditions, or unexpected obstacles.	0	or scree Overreacte direction	gauge effectiver strments when nee ened out ne ed or responded sl or environment. s, complex, or pres	ded. Overlooked w information. owly to change in Ineffective in	0	Receptive to change, n technology. Effectively improve performance an progress and changed Effectively dealt with pre-Facilitated smooth transiti	ons.	id ambiguity.	0	Rapidly assessed conditions, new in Very skilled at a measurement ii organizational impriment extremely copressure and an forces for change.	idicators. ovements. E mplex situat	ffectively ions.	y dealt Turned	0	0
e. PROFESSIONAL COMPETENCE:		Questional	ble competence	and credibility.		Competent and credible a	uthority cauired	on speciality		Superior expertise;	advice and	actions	showed wledge		
Ability to acquire, apply and share technical and administrative knowledge and skills associated with description of duties. (Includes operational aspects such as marine safety, seamanship, airmanship, SAR, etc., as appropriate.)	0	reduced d	al or speciality exp in key areas. Ma essionally. Used ainst others or blu ging ignorance. lue to limited kno onal role and custo	owledge of own	0	Competent and credible a or operational issues. A excellent operational or sassigned duties. Showet through education, train reading. Shared knowle with others clearly and own organizational role are	pecialty professing and dge and simply. d custor	expertise for sional growth professional d information Understood mer needs.	0	great breadth a Remarkable grasp and situations. Ra growth beyond conveyed knowle increased workpla knowledge of own value of work.	of complex is bidly develop expectations directly ce productions of custon	sues, co ed profes. Vic resul- vity. Ir ner nee	oncepts, essional gorously ting in nsightful ds, and	0	0
COMMENTS:															

Page 2 of CG-5310 (Rev. 03-03)				/					
	LS:	Measures an officer's ability to o				ing		7	N/O
a. <u>SPEAKING AND LISTENING:</u>	'	Unable to effectively articulate ideas and fa lacked preparation, confidence, or logic. U	lsed	Effectively expressed idea individual and group situa	as and tacts in lations; non-verbal	5	Clearly articulated and promoted ideas before a wide range of audiences; accomplished speaker	'	N/O
Ability to speak effectively and listen to understand.	(inappropriate language or rambled. Nervou distracting mannerisms detracted f message. Failed to listen carefully or was argumentative.	us or from s too	individual and group situal actions consistent with second communicated to people at understanding. Listened car message as well as spoken w	spoken message. all levels to ensure refully for intended vords.		in both formal and extemporanéous situations. Adept at presenting complex or sensitive issues. Active listener, remarkable ability to listen with open mind and identify key issues.		
b. WRITING:		Writton material frequently unclear, verbess	0.01	Written material_clear, cond	oise and logically	\subseteq	Clearly and pareussively expressed complex or	0	
		poorly organized. Seldom proofread. O	Often	organized. Proofread	conscientiously.		Clearly and persuasively expressed complex or controversial material, directly contributing to stated objectives. Written or published material brought credit to the Coast Guard. Actively extended to the coast of		
Ability to express facts and ideas clearly and convincingly.	C	Written material frequently unclear, verbose poorly organized. Seldom proofread. O submitted correspondence which grammatically incorrect, tailored to wraudience, or delivered by an inapproprimedium.	rong briate	Correspondence grammatica to audience, and delivered medium. Subordinates' mate high standards.	by an appropriate erial reflected same	\cap	brought credit to the Coast Guard. Actively educated subordinates in effective writing.	0	
COMMENTS:		<u> </u>		<u> </u>		<u> </u>	<u> </u>		
5. LEADERSHIP SKILLS:	Mea	sures an officer's ability to suppo	ort, de			rfor	ming work.		
a. LOOKING OUT FOR OTHERS: Ability to consider and respond to others <i>personal</i> needs, capabilities, and achievements; support for and application of work-life concepts and		Seldom recognized or responded to need people; left outside resources untapped de apparent need. Ignorance of individica pabilities increased chance of fa Seldom recognized or rewarded dese subordinates.	espite duals' ailure.	Cared for people. Recognize their needs; referred to ou appropriate. Considered indit to maximize opportunitie Consistently recognized and subordinates.	es for success.	5	Always accessible. Enhanced overall quality of life. Actively contributed to achieving balance among unit requirements, professional and personal responsibilities. Strong advocate for subordinates; ensured appropriate and timely recognition, both formal and informal.		N/O
skills.	\subseteq	Unreasonably restricted apportunities			annostruition for	\cup	Created shallowing situations which antimized	\circ	\Box
b. DEVELOPING OTHERS: Ability to use coaching, counseling, and training to provide opportunities for others' professional development.	0	Unreasonably restricted opportunities professional growth; kept others in nar roles and discouraged the level of risk-tal necessary for learning. Lack of tin feedback left subordinates guessing.	arrow aking mely	Supported and provided professional growth. Enco expand their roles, handle in learn by doing. Allowed the risk-taking necessary for lea accomplishment. Provided constructive feedback.	uradad athera to l	0	Created challenging situations which optimized professional development and maximized opportunity for success. Guided, coached, and encouraged others to reach new levels of performance. Adeptly counseled others; identified professional potential, strengths and areas for improvement.		0
c. DIRECTING OTHERS:		Showed difficulty in directing or influen	ncing	A leader who earned oth commitment. Set high work	ners' support and		An inspirational leader who motivated others to		
Ability to influence or direct others in accomplishing tasks or missions.	0	Showed difficulty in directing or influen others. Low or unclear work standards red productivity. Failed to hold subordin accountable for shoddy work or irresponactions. Unwilling to delegate authority increase efficiency of task accomplishment.	nates isible by to	measurement criteria; h accountable. When approauthority to those directly re	expectations and subordinates opriate, delegated esponsible for the	0	achieve results not normally attainable. Won people over rather than imposing will. Clearly articulated vision; empowered subordinates to set goals and objectives to accomplish tasks. Modified leadership styles to best meet challenging situations.	0	0
d. TEAMWORK:		Used teams ineffectively or at wrong tin Conflicts mismanaged or often left unresolv	mes.	Skillfully used teams to	o increase unit		Insightful use of teams raised unit productivity		
Ability to manage, lead and participate in teams, encourage cooperation, and develop esprit de corps.	0	resulting in decreased team effectivent excluded team members from vital informat Stifled group discussions or did not contrib productively. Inhibited cross function cooperation to the detriment of unit or ser goals.	ness. ition. ibute ional	effectiveness, quality, and se managed group conflict, enh and involved team members. Valued team participant. Ef work across functional bour support of broader mutual goa	lanced cooperation, in decision process. fectively negotiated indaries to enhance als.	0	beyond expectations. Inspired high level of esprit de corps, even in difficult situations. Major contributor to team effort. Established relationships and networks across a broad range of people and groups, raising accomplishments of mutual goals to a remarkable level.	0	0
e. WORKPLACE CLIMATE:		Intolerant of individual differences, exhil discriminatory tendencies toward other	hers	Sensitive to individual difference open communication and res	nect Promoted an I		Excelled at creating an environment of fairness, candor, and respect among individuals of diverse		
Ability to value individual differences and promote an environment of involvement innovation, open communication and respect.		Tolerated or contributed to an uncomfortab degrading environment. Failed to responsibility for own words and actions their impact on others. Failed to suppoint enforce Coast Guard human resources police.	ole or take and ort or icies.	creativity, and diverse per responsibility for own words their impact on others. Fuenforced Coast Guard human	rainless, dignity, erspectives. Took s and actions and ully supported and n resources policies.	0	Excelled at creating an environment of fairness, candor, and respect among individuals of diverse backgrounds and positions. Optimized use of different perspectives and opinions. Quickly took action against behavior inconsistent with Coast Guard human resources policies, or which detracted from mission accomplishment.	0	0
f. EVALUATIONS:		Reports were frequently late. Narrati	tives	Reports consistently sub-	mitted on time.		No reports submitted late. Narratives were		
The extent to which an officer, as Reported-on Officer and rater, conducted or required others to conduct accurate, timely evaluations for enlisted, civilian and officer personnel.	0	Reports were frequently late. Narratinaccurate or of poor quality. Failed to up service performance standards by assign accurate marks. Reports required revision intervention by others. Failed to meet to OES responsibilities as Reported-on Officer	ining on or own er.	Reports consistently subinarratives were fair, consistently specific observations of at Assigned marks against reports, if any, returned for OES responsibilities as Reports.	ction and impact. standards. Few revision. Met own rted-on Officer.	0	insightful, of the highest quality, and always supported assigned marks. Subordinates' material reflected same high standards. No reports returned for revision. Returned reports to subordinates when appropriate.	0	0
COMMENTS:				•					
6. SUPERVISOR AUTHEN	TICA	ATION					(YYYY	//MM/DI	D)
a. NAME AND SIGNATURE		b. GRADE c. S	SSN	d. TITLE (OF POSITION		e. DATE		
								,	,

Page 3C of CG-5310							/				
7. REPORTING OFFICER	CON	IMENTS: Provide	additional	informa	ation	to supplemen	it or amplify the Supe	rvisoı	's evaluation.		
8. PERSONAL AND PROF	ESS				ecte	d qualities which	ch illustrate the individ	dual's		1 7	N/O
a. INITIATIVE: Ability to originate and act on new	'	Postponed needed a supported improvemen do so. Showed litt development. Feasily	ction. Implem ts only when d le interest ir	ented or lirected to r career	3	methods, and prace problems and too	ovement through new ideas stices; self-starter Anticipate ik prompt action to avoid o	d or	Aggressively sought out additional responsibility A self-learner. Made worthwhile ideas and practices work when others might have given up	i	IN/O
ideas, pursue opportunities to learn and develop, and seek responsibility without guidance and supervision.	_	development. Feasit methods, services, unexplored.	or product	nents in s went		eer development. I enhanced mission	ovement through new idea: tices; self-starter. Anticipate k prompt action to avoid or ght opportunities for own car- cursued productivity gains an performance by applying ner 	d W	Aggressively sought out additional responsibility A self-learner. Made worthwhile ideas and practices work when others might have given up Extremely innovative. Optimized use of new ideas and methods to improve work processes decision-making, and service delivery.	,	
b. JUDGMENT:	0	Decisions often display	od poor analys	is. Failed	0					\cup	0
Ability to make sound decisions and provide valid recommendations by		to make necessary d conclusions without alternatives, and impa weigh risk, cost, and tin	ecisions, or ju considering ict. Did not e	imped to facts, effectively		and experience, a alternatives. Wei	alytical thought and common decisions. Used facts, data and considered the impact of ghed risk, cost and time ade sound decisions promptly able information.	ł e	Combined keen analytical thought and insight to make appropriate decisions. Focused on the key issues and the most relevant information, even if complex situations. Did the right thing at the righ time. Actions indicated awareness of impact and implications of decisions on others.	i t	
using facts, experience, common sense, and analytical thought.	0	weigh risk, cost, and tin	ne consideratio	ins.		considerations. Make with the best available	ade sound decisions promptly able information.		time. Actions indicated awareness of impact and implications of decisions on others.		0
c. RESPONSIBILITY:		Actions demonstrated lack of commitment.	questionable Folerated indiff	ethics or ference or		Held self and s	subordinates personally and	1	Integrity and ethics beyond reproach. Always held self and subordinates to highest standards o		
Ability to act ethically, courageously, and dependably and inspire the same in others; accountability for own and		Actions demonstrated lack of commitment. failed to hold subordina organization to absorb ther than confronting th not to speak up or get imal support for decisio	tes accountable personnel pro em as required	e. Allowed blems ra- d. Tended		necessary, even positions. Support decisions which m	ubordinates personally and countable. Spoke up when expressing unpopulated organizational policies and ay have been counter to own ted to the successful angles.	ין און	Integrity and ethics beyond reproach. Always held self and subordinates to highest standards o personal and professional accountability. Did the right thing even when it was difficult. Succeeded in making even unpopular policies or decisions work. Actions demonstrated unwavering commitment to achievement of organizational coals.	3	
subordinates' actions.		not to speak up or get imal support for decisio	involved. Provins counter to o	vided min- wn ideas.		ideas. Committee achievement of organical committee	ted to the successfuganizational goals.		work. Actions demonstrated unwavering commitment to achievement of organizational goals.		
d. PROFESSIONAL PRESENCE:		Unaware of gene	ral CG o	bjectives;		Knowledgeable in public: cooperative	how CG objectives serve the		1-		0
Ability to bring credit to the Coast Guard through one's actions, competence, demeanor, and		Unaware of gene uncooperative or biase composure in difficult poor image of self ar sloppy with commo Uniform appearance standard.	situations. (nd CG. Ignora n military co	Conveyed ant of or ourtesies.		Composed in di positive image of military etiquette:	how CG objectives serve the e and fair in all interactions ficult situations. Conveyer self and CG. Well versed in precise in rendering and courtesies. Great care in e and grooming.		Always self-assured, projected ideal CG image Poised in response to others' provocative actions Contributed leadership role in civilian/military community. Exemplified finest traditions of military customs and protocol. Meticulous uniform appearance and grooming; inspired similar standards in others.	f s	
appearance.	0	Uniform appearance standard.	and groomin	g below		upholding military uniform appearance	courtesies. Great căre ii e and grooming.		uniform appearance and grooming; inspired similar standards in others.		
e. HEALTH AND WELL-BEING:		Failed to meet minimu	m standards	of weight		Maintained weigh	t standards. Committed to	,	Remarkable vitality, enthusiasm, alertness and		0
Ability to invest in the Coast Guard's future by caring for the physical health and emotional well-being of self and		Failed to meet minimus control or sobriety others' alcohol abuse subordinates' health ar or unable to recogniz despite apparent need.	e. Seldom cond well-being.	onsidered Unwilling		Enhanced person activities supportion well-being Record	t standards. Committed to sing of self and subordinates and performance through ing physical and emotional gnized and managed stress		Remarkable vitality, enthusiasm, alertness and energy. Consistently contributed at high levels Optimized personal performance through involvement in activities which supported physica and emotional well-being. Monitored and helper others deal with stress, enhance health and well-being.		
others.	0	despite apparent need.		go 011 000		effectively.			others deal with stress, enhance health and well-being.		0
COMMENTS:											
9. RATING SCALE. Consi	derin	a the performance	e informatio	on in this	s rer	nort I rate this	Cantain:				
Performance rarely Perfo	rmano	•	teady, reliab	le A	good	, solid captain. S gement and leade	killed in Has flag POTE	ENTIAI	Recommended for flag Recommer selection at a future board. selection at		
Not suitable for most captain billets.		ential. hand	dling a variety ain assignme	of Ronald	espe	cted for views and		ignme			
10 POTENTIAL: Describe	abili	ty to assume grea	tor loadors		vork.	O O	ios (o g command d	· ·	al assignment, promotion, and specia)	-/
III. POTENTIAL. DESCRIBE	aviii	ty to assume grea	iter leaders	stilp tole	s ai	id responsibilit	ies (e.g. command, s	specie	ai assigninent, promotion, and specia	ai Skills	·).
11. REPORTING OFFICER a. NAME AND SIGNATURE	ΑŪΊ	HENTICATION	b. GRADE	c. SSN			d. TITLE OF POSITION		(YY e. DAT	YY/MM/[E	DD)
40 DEVIEWED 4	=	ON 1				_				1	1
b. NAME AND SIGNATURE	ΔTI	ON a.	c. GRADE	S ATTACH d. SSN	IED (Required when the	Reporting Officer is not a Coale. TITLE OF POSITION	ist Gua	d Officer or Coast Guard SES.)		
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INSTRUCTIONS

PURPOSE: The Officer Evaluation Report (OER) primarily provides information for officer corps promotion, selection, and assignment determinations. Secondary purposes include: (1) prescribing common standards of expected performance; (2) reinforcing Coast Guard values; and (3) acting as one means of performance feedback for the Reported-on Officer.

GUIDING INSTRUCTION: Chapter 10-A of the CG Personnel Manual, COMDTINST M1000.6 (series), contains all official guidance on OES requirements.

RESPONSIBILITIES: All Coast Guard officers and raters of CG officers should be aware of their OES responsibilities as outlined in the CG Personnel Manual.

SUBMISSION SCHEDULE:

Grade	Active Duty	IDPL
Captain	Apr	Apr
Commander	Mar	Mar
Lieutenant Commander	Apr	Apr
Lieutenant	May	May
Lieutenant (Junior Grade)	Jan and Jul	Jul
Ensign	Mar and Sep	Sep
Chief Warrant Officer (W4)	Apr	Apr
Chief Warrant Officer (W3)	Jul	Jul
Chief Warrant Officer (W2)	Jun	Jun

Notes:

- (1) An OER period may be extended for up to 92 days (semiannual) under certain conditions. Consult PERSMAN for further guidance.
- (2) OERs for IDPL grades of CAPT, LTJG, and ENS are annual. All other IDPL OERs are biennial.
- (3) Officers assigned to DUINS follow an annual/semiannual schedule according to school terms.

TIMELINE:

21 days before end of period:

Reported-on Officer submits to Supervisor a list of significant accomplishments during the period, supporting documents (as required), administrative data required for OER Section 1, and a completed OER page 4.

10 days after the period:

Supervisor sections of OER due to Reporting Officer.

30 days after the period:

Supervisor and Reporting Officer sections due to Reviewer.

Reviewer sends completed OER to OER Administrator.

45 days after the period:

OER due to CGPC for review and entry into official record.

RESTRICTIONS:

Raters shall not mention a Reported-on Officer's: (1) First name; (2) Non-selection for promotion, including allusions thereto; (3) Record appeals; (4) Psychological or medical conditions; (5) marital or family status (including pregnancy); or (6) Performance observed outside the reporting period. Raters also shall not: (1) Expressly evaluate or place emphasis on gender, religion, color, race, or ethnic background (applies to both member and third parties); (2) Refer to any third party by name; or (3) Include information which is subject to a security classification. See PERSMAN for additional restrictions that apply to disciplinary proceedings.

PREPARATION CHECKLIST (OPTIONAL):

Administrative Data and Description of Duties (Sections 1 and 2):

- ____ All fields completed (enter dates in YYYY/MM/DD format; enter only one occasion for report, leave 1.c. blank if active duty).
- Primary duty underlined or capitalized (no other text enhancements, such as underlining, bolding, or all capital letters, are allowed throughout the OER).
- ___ Attachments listed (only personal award citations, punitive letters, or letter reports for senior service school allowed).

Performance Evaluation (Sections 3-5 and 7-8)

- ____ Marks assigned according to standards which most closely describe Reported-on Officer's performance during the period.
- Specific examples cited for each mark which deviated from "4".
 When applicable, comments on seamanship or airmanship ability are distinct.

Comparison or Rating Scale and Potential (Sections 9 and 10):

- Section 9 mark assigned according to the instructive clause on the form.
- Comments describe Reported-on Officer's overall potential for greater responsibility (include, as appropriate, recommendations for promotion, special assignment, and command).

TIPS FOR EFFECTIVE COMMENTS:

1. Be specific.

Concisely describe the performance by relating the action observed and its impact; quantify the action whenever possible and explain why it was important; avoid empty superlatives. Do not repeat the dimensions.

2. Save space.

Use information bullets; reduce the use of pronouns; use member's name sparingly, if at all; use action verbs and semicolons; and avoid excess words. Acronyms and abbreviations are effective only if they are common to all Coast Guard communities or are initially defined in the comments.

3. Be clear.

Don't lose the meaning; watch for cryptic comments.

13. RETURN ADDRESS. (Name and address to which a copy is sent after filing the original in the officer's record.)	14. OER ADMINISTRATOR REVI	EW:
	a. Initials:	b. Date:
	PRIVACY ACT STATEMENT This information is requested under U.S.C. 833 to determine an of promotion or job assignment information is mandatory. Failure adversely affect promotion oppassignments or lead to disciplinary	fficer's suitability for t. Submitting this e to provide it could portunities and job